

CÔNG TY CỔ PHẦN
THỦY ĐIỆN MIỀN TRUNG
CENTRAL HYDROPOWER
JOINT STOCK COMPANY

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Số/No.: 111 /EVNCHP-TCKT

Đà Nẵng, ngày 20 tháng 01 năm 2017
Danang, 20 January 2017

**CÔNG BỐ THÔNG TIN
TRÊN CỔNG THÔNG TIN ĐIỆN TỬ
CỦA ỦY BAN CHỨNG KHOÁN NHÀ
NƯỚC VÀ SGDCK TP.HCM**

**DISCLOSURE OF INFORMATION ON
THE STATE SECURITIES
COMMISSION'S PORTAL AND
HOCHIMINH STOCK EXCHANGE'S
PORTAL**

Kính gửi/ To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities
Commission*
- Sở Giao dịch chứng khoán TP.HCM/ *Hochiminh Stock Exchange*

- Tên tổ chức /*Organization name*: Công ty Cổ phần Thủy điện miền Trung/ *Central Hydropower Joint Stock Company*.
- Mã chứng khoán/ *Securities Symbol*: CHP
- Địa chỉ trụ sở chính/ *Address*: Lô 57B, đường số 6, Khu công nghiệp Đà Nẵng, quận Sơn Trà, thành phố Đà Nẵng/ *Lot 57B, street No.6, Danang Industrial Zone, Son Tra district, Danang city*.
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- Người thực hiện công bố thông tin/ *Submitted by*: Ông Trương Công Giới/ *Mr. Trương Công Giới*

Chức vụ/ *Position*: Tổng Giám đốc / *General Director*

Loại thông tin công bố : định kỳ bất thường 24h theo yêu cầu

Information disclosure type: Periodic Irregular 24 hours On demand

Nội dung thông tin công bố (*)/ *Content of Information disclosure (*)*:

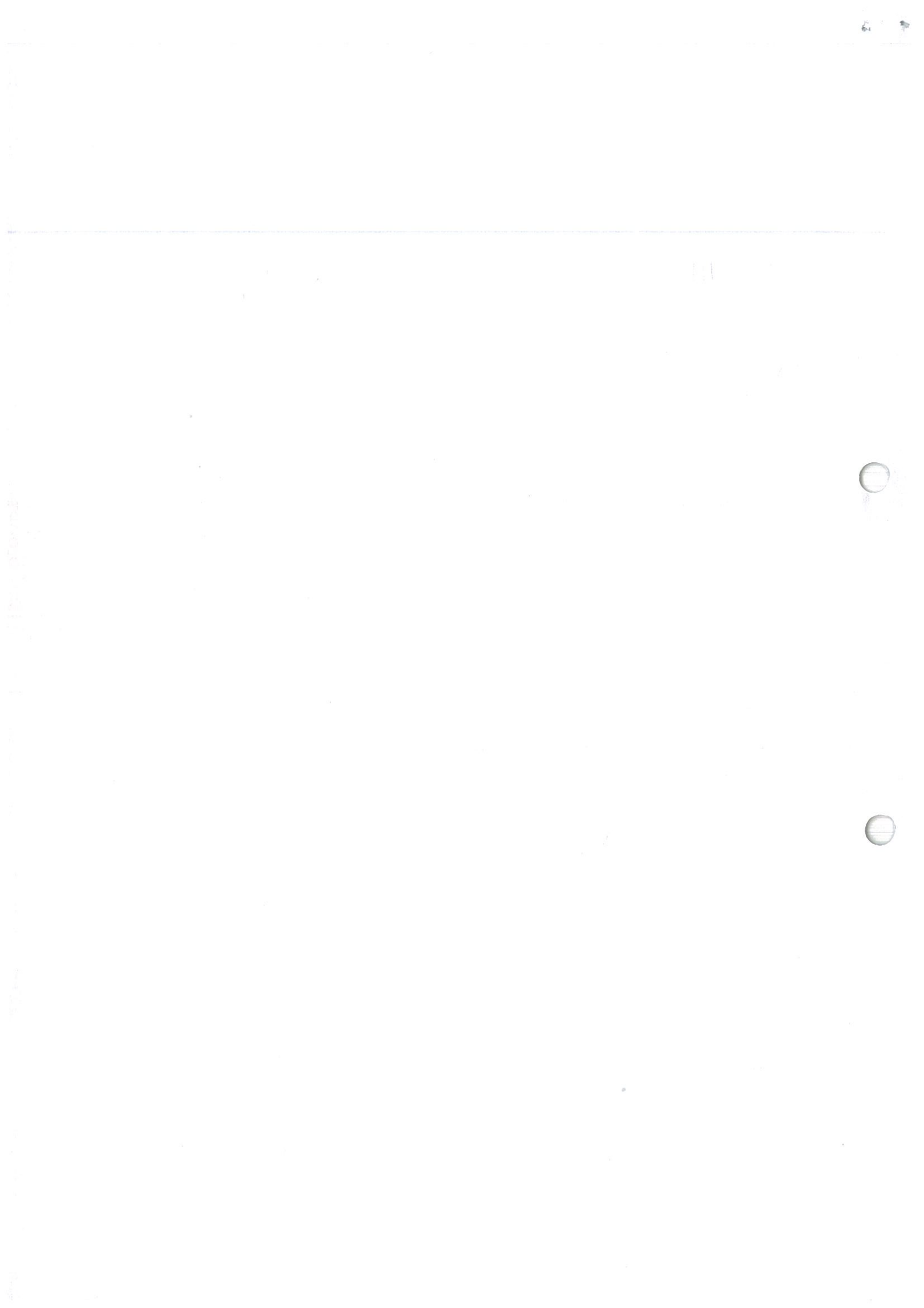
Báo cáo tình hình quản trị công ty của Công ty Cổ phần Thủy điện miền Trung / *Report on corporate governance of Central Hydropower Joint Stock Company*.

Đôi với trường hợp thay đổi, thay thế thông tin đã công bố, cần nêu rõ nguyên nhân thay đổi hoặc thay thế.

In case the company accurates or replaces information(s) that were disclosed. Company shall make a reason for the replacement or correction.

Lý do/ *Reason*:





Thông tin này đã được công bố trên trang thông tin điện tử của công ty/quỹ vào ngày 20/01/2017 tại đường dẫn: <http://www.chp.vn/CoDong.html>
This information was disclosed on Company/Fund's Potral on date 20 January 2017 Available at: <http://www.chp.vn/CoDong.html>

Tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./
I declare that all information provided in this paper is true and accurate; I shall be legally responsible for any misrepresentation.

Tài liệu đính kèm: Báo cáo tình hình quản trị công ty của Công ty Cổ phần Thủy điện miền Trung.

Attachment: Report on corporate governance of Central Hydropower Joint Stock Company.

Tài liệu liên quan đến việc CBTT / Documents related to the disclosure

Đại diện tổ chức

Organization representative

Người đại diện theo pháp luật/Người UQ CBTT
Legal representative/Party authorized to disclose information



TỔNG GIÁM ĐỐC

Trương Công Giới



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Ref.: 02/EVNCHP-HDQT

Danang, January 20th, 2017

**REPORT ON CORPORATE GOVERNANCE OF LISTED COMPANY
(2016)**

To: - The State Securities Commission
- The Stock Exchange

- Name of listed company: Central Hydropower Joint Stock Company
- Address of head office: Lot 57B, street No. 6, Danang Industrial Zone, Son Tra district, Danang city.
- Telephone: +84 511 3959 110 Fax: +84 511 3935 960 Email: bttwebchp@gmail.com
- Charter capital: VND 1,259,995,110,000
- Securities code: CHP

I. Activities of the General Meeting of Shareholders:

Information on meetings and resolutions / decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders adopted in the form of written comments):

The 2016 Annual General Meeting of Shareholders of Central Hydropower Joint Stock Company on March 31, 2016 adopted the following resolution.

No.	Resolution/Decision No.	Date	Content
1	01/2016/NQ-CHP-HDCD	March 31, 2016	<p>It was resolved:</p> <p>Article 1. To approve the report on the 2015 production and business results and 2016 plan of the company.</p> <p>Article 2. To approve the 2015 financial statements of the company audited by AAC Auditing and Accounting Co., Ltd.</p> <p>Article 3. To approve the report on activities of the Board of Directors in 2015 and tasks in 2016.</p> <p>Article 4. To approve the report of the Supervisory Board on inspection and supervision over the company's activities in 2015.</p> <p>Article 5. To approve the distribution of 2015 profit and expected dividends of 2016.</p> <p>Article 6. To approve the cancellation of the listing of the company's shares on Hanoi Stock Exchange (HNX) and registration for listing the shares on Ho Chi Minh Stock Exchange (HSX).</p>

No.	Resolution/Decision No.	Date	Content
			<p>Article 7. To approve the amendment to the company's charter.</p> <p>Article 8. To appoint AAC Auditing and Accounting Co., Ltd to audit the 2016 financial statements. The General Meeting of Shareholders hereby authorises the Board of Directors to select another auditing company on the list of auditing companies announced by the State Securities Commission in case of failure in negotiation and signing a contract between the company and AAC Auditing and Accounting Co., Ltd.</p> <p>Article 9. To approve that the number of the Supervisory Board's members of the third term (2015-2020) is 4 people.</p> <p>To approve the list of additionally elected members of the Supervisory Board of the third term (2015-2020).</p> <p>Article 10. To approve the remuneration of the Board of Directors, the salary and remuneration of the Supervisory Board in 2016.</p> <p>Article 11. Implementation of the resolution.</p>

II. Board of Directors (annual report):

1. Information about members of the Board of Directors:

No.	BOD's member	Position	Day becoming/no longer a member of the Board	Number of attendance of the Board's meeting	Attendance percentage	Reasons for absence
1	Mr. Pham Ngoc Le	Chairman	Reappointed on April 23, 2015	4/4	100%	
2	Mr. Le Kim Hung	Member	Reappointed on April 23, 2015 Resigned on July 30, 2016	4/4	100%	
3	Mr. Pham Sy Hung	Member	Appointed on August 1, 2016	1/4	25%	Appointed on August 1, 2016
4	Mr. Truong Cong Gioi	Member	Reappointed on April 23, 2015	4/4	100%	
5	Mr. Nguyen Tuan Anh	Member	Reappointed on April 23, 2015 Resigned on	4/4	100%	

No.	BOD's member	Position	Day becoming/no longer a member of the Board	Number of attendance of the Board's meeting	Attendance percentage	Reasons for absence
			September 7, 2016			
6	Mr. Nguyen Danh Duyen	Member	Reappointed on April 23, 2015 Resigned on September 29, 2016	4/4	100%	
7	Mr. Ho Quoc Viet	Member	Appointed on April 23, 2015	3/4	75%	Busy with business
8	Mr. Nguyen Huu Tam	Independent member	Appointed on April 23, 2015	3/4	75%	Busy with business
9	Mr. Nguyen Huu Phuong	Independent member	Appointed on April 23, 2015	3/4	75%	Busy with business

2. Supervision by the Board of Directors over the Management Board:

Pursuant to the company's charter and the regulation on corporate governance, the Board of Directors has inspected and monitored activities of the Management Board in implementing the resolutions of the General Meeting of Shareholders and the Board of Directors in 2016 as follows.

Through the Board's meetings and means of communication, the Board of Directors has regularly inspected and monitored the activities of the Management Board in implementing the 2016 goals and business plan approved by the 2016 Annual General Meeting of Shareholders.

The Board of Directors has regularly directed and discussed with the Management Board to find solutions to reach the 2016 production and business goals. Simultaneously, the Board has operated well and successfully completed the tasks assigned in 2016, complied with the provisions of law, the company's charter and regulations of the company. Therefore, the company has completed in excess of the 2016 production and business plan entrusted by the Annual General Meeting of Shareholders.

3. Activities of subcommittees of the Board of Directors:

Currently, there are three subcommittees under the Board of Directors. In 2016, the subcommittees had the following activities.

* *Development Policy Subcommittee:*

- Review and verification of short-term and long-term plans for production and business development of the company;
- Review, research and evaluation of factors and trends affecting the business activities of the company;
- Defining annual goals and tasks based on strategic goals approved by the General Meeting of Shareholders;
- Verification of investment and construction plan of the company.

* *Finance and Risk Management Subcommittee:*

- Research on short-term and long-term financial strategies of the company.
- Verification of budget and long-term plans of the company;
- Verification and proposal on dividend rate.
- Monitoring the integrity of financial statements of the company, competence and independence of independent auditors.

** Human Resources and Compensation Subcommittee:*

- Review of scale, structure, organization and personnel that are suitable for the scale of operation and development strategies of the company;
- Verification of regime and policy on salary of the company;
- Proposal of fair, suitable and timely reward programs for officers and employees having outstanding achievements to motivate them to strive to fulfill their tasks.

4. Resolutions/decisions of the Board of Directors (2016 report):

No.	Resolution/Decision No.	Date	Content
1	01/2016/NQ-CHP-HDQT	January 5, 2016	<p>It was resolved:</p> <ol style="list-style-type: none"> 1. To approve the results of the production, business and construction investment in 2015. 2. To approve the proposal on rewarding the Board of Directors, the Supervisory Board and the Company Secretary in 2015. 3. To submit the distribution plan of the 2015 profit to the General Meeting of Shareholders. 4. To establish the company's secretary team. 5. To submit the 2016 production and business plan to the General Meeting of Shareholders. 6. To approve the self-implementation of several work items by the company. 7. To approve the additional content to the report on verification of the total investment cost of the A Luoi hydropower project. 8. To approve the appropriation of a part of the salary fund to distribute to the departments that contributing to the increase of the company's profit and the salary fund. 9. To approve the settlement value of price adjustment of the A Luoi hydropower project. 10. To assign the Human Resources and Compensation Subcommittee to consider, consult with the Board members and submit to the Chairman of the Board for signature and issuance of the salary regulation.

No.	Resolution/Decision No.	Date	Content
			<p>11. To stop the implementation of the Dakdrinh 2 hydropower project in Quang Ngai province.</p> <p>12. To allow the company to research Bo river hydropower project and other investment projects including domestic water supply project in Danang city.</p>
			<p>It was resolved:</p> <p>To approve the report on operation result of the first 2 months of 2016 and the plan of 10 remaining months of 2016.</p> <p>Preparatory work for the 2016 Annual General Meeting of Shareholders:</p> <p>1. To submit the regulation on organizing the 2016 Annual General Meeting of Shareholders to the Annual General Meeting of Shareholders.</p> <p>2. To submit the amendment to the company's charter in accordance with the Law on Enterprises No. 68/2014/QH13 dated Nov 26, 2014 to the Annual General Meeting of Shareholders.</p>
2	02/2016/NQ-CHP-HĐQT	March 2, 2016	<p>3. To approve the implementation results of the 2015 plan and goals, the tasks and plan in 2016.</p> <p>4. To approve the report on activities of the Board of Directors in 2015 and the 2016 plan.</p> <p>5. To approve the report of the Supervisory Board of Central Hydropower Joint Stock Company on supervision over the company's activities in 2015.</p> <p>6. To submit the 2015 profit distribution plan and expected dividends of 2016 to the Annual General Meeting of Shareholders.</p> <p>7. To approve the report on investment opportunity of projects located in the central provinces.</p> <p>8. To approve the report on investment opportunity of Hoa Lien Water Plant in Da Nang.</p> <p>9. To submit the payment plan of the Board's remuneration, salary and remuneration of the</p>

No.	Resolution/Decision No.	Date	Content
			<p>Supervisory Board in 2016.</p> <p>10. To approve the election plan of the Supervisory Board's members. The company shall send notices to the shareholders on the nomination of the Supervisory Board's members before the Annual General Meeting of Shareholders.</p> <p>11. To submit the transferring of the listing of the company's shares from HNX to HSX.</p> <p>12. To approve the remuneration of the members of the company's Secretary team.</p>
3	03/2016/NQ-CHP-HDQT	July 15, 2016	<p>It was resolved:</p> <p>1. To approve the report on operation result of the first 6 months and plan of the 6 remaining months of 2016.</p> <p>2. To approve the report on implementation progress of 2015 dividend payment and transferring the listing of CHP shares from HNX to HSX.</p> <p>3. To approve that the company shall not adjust the price of the volume of work that is behind schedule due to contractors' errors.</p> <p>4. To allow the company to research for building another reservoir to increase annual power output of the A Luoi hydropower plant.</p> <p>5. To allow the company to seek investment opportunities of solar power and wind power projects in the Central region, High Land, Ninh Thuan and Binh Thuan province.</p> <p>6. To buy compulsory fire and explosion insurance for the A Luoi hydropower plant as the previous years for 2016. The company shall consider to buy insurance for the tunnel of the A Luoi hydropower plant in 2017.</p> <p>7. To approve the plan of additional contractor selection of bidding packages for production of the A Luoi Hydropower Plant in 2016.</p> <p>8. To approve the forest plantation project of 109.08 hectares of the A Luoi Hydropower Plant.</p> <p>9. Company shall review the data and prescribed forms and submit to the Chairman</p>

No.	Resolution/Decision No.	Date	Content
			for approval of the total settlement value of the A Luoi Hydropower Project.
4	04/2016/NQ-CHP-HDQT	September 29, 2016	<p>It was resolved:</p> <ol style="list-style-type: none"> 1. To vote and approve the resignation and election of the Board's members. 2. To consider to amend the Regulation on corporate governance of Central Hydropower Joint Stock Company. The Board's members shall send comments on the draft of the amended regulation to the company before November 15, 2016 to submit to the Board for approval of the amended regulation in the Board's meeting at the end of 2016. 3. To approve the report on operation result of the first 9 months and plan of 3 remaining months of 2016 and the 2017 plan. 4. To research the Song Tranh Hydropower Project 4. 5. To buy the compulsory fire and explosion insurance and the insurance for the tunnel of the A Luoi Hydropower Plant.

III. Supervisory Board (2016 report):

1. Information about members of Supervisory Board:

No.	Members of Supervisory Board	Position	Date becoming /no longer a member of Supervisory Board	Number of attendance of meetings	Attendance percentage	Reason for absence
1	Mr. Trinh Quang Viet	Chief supervisor	Appointed on March 31, 2016	4/4	100%	
2	Mr. Nguyen Thanh Son	Chief supervisor	Appointed on April 23, 2015 Resigned on March 31, 2016	0/4	0%	Resigned on March 31, 2016
3	Mr. Tran Van Thuong	Member	Reappointed on April 23, 2015 Resigned on September 29, 2016	4/4	100%	
4	Mr. Thang Thanh Ha	Member	Reappointed on April 23, 2015 Resigned on March 31, 2016	0/4	0%	Resigned on March 31, 2016

No.	Members of Supervisory Board	Position	Date becoming /no longer a member of Supervisory Board	Number of attendance of meetings	Attendance percentage	Reason for absence
5	Mr. Nguyen Van Danh	Member	Appointed on March 31	4/4	100%	
6	Mr. Pham Viet Thien	Member	Appointed on March 31	4/4	100%	

2. Supervision by Supervisory Board over Board of Directors, Management Board and shareholders:

In 2016, Mr. Trinh Quang Viet was appointed as the chief supervisor in place of Mr. Nguyen Thanh Son in accordance with the resolution of the 2016 Annual General Meeting of Shareholders.

The Supervisory Board has performed the tasks according to the company's charter and the Regulation on the Board of Directors' operation. The Supervisory Board has fully participated in meetings of the Supervisory Board, the Board of Directors and the Annual General Meeting of Shareholders and fully implemented supervision function over the company's activities as follows.

**** Monitoring the implementation of the Resolution of the 2016 Annual General Meeting of Shareholders:***

In 2016, the company implemented the plan adopted by the Annual General Meeting of Shareholders held in March 2016 as follows.

Results of production, business and construction investment in 2016: 2016 was a very difficult year for the company due to the drought caused by the El Nino weather phenomenon. However, with the timely direction of the Board of Directors, the Management Board of the company has operated effectively to implement the plan thus the company has achieved good production and business results in 2016. The goals of production, revenue, profit, investment and construction have been achieved and exceeded the 2016 plan adopted by the Annual General Meeting of Shareholders.

Distribution of profit and payment of dividends of 2015: The company has fully implemented the appropriation of funds including investment and development fund, reserve fund for charter capital, bonus and welfare fund and bonus fund for the Management Board. The payment of 2015 dividend has been completed.

Cancellation of the listing of the company's shares on Hanoi Stock Exchange and registration for listing of the shares on Ho Chi Minh Stock Exchanges: The company completed the cancellation of the listing of the company's shares on Hanoi Stock Exchange and the shares was officially listed on Ho Chi Minh Stock Exchange on September 29, 2016.

Payment of the remuneration to the Board of Directors and the Supervisory Board: The company has paid the remuneration according to the amount approved by the General Meeting of Shareholders.

**** Monitoring financial situation in 2016:***

The Supervisory Board has participated actively in the process of preparing and issuing

financial statements of the company to review financial data and auditors' opinions.

Basic indicators of debt situation, borrowings, liquidity and asset efficiency have been achieved.

Results of revenue and business profit in the year have exceeded the plan.

From the above results, the Supervisory Board evaluates that the financial situation of the company in 2016 was very good. The Management Board has made effective management and balance of the cash flow.

3. Coordination between Supervisory Board, Board of Directors, Management Board and other managers:

The Supervisory Board has performed the role of supervision, coordination, consultation and provided adequate, timely and accurate information to the Board of Directors.

The Supervisory Board has performed the function of inspection and supervision over the Management Board and other managers.

4. Other activities of Supervisory Board (if any):

The Supervisory Board has evaluated and proposed independent auditors to audit the 2016 financial statements and submit to the Annual General Meeting of Shareholders for approval.

IV. Training on corporate governance:

Training courses on corporate governance which members of Board of Directors, the Supervisory Board, the Director (General Director), other managers and company secretary were involved in accordance with regulations on corporate governance:

The training program on corporate governance for public joint stock companies from July 20, 2016 to July 21, 2016 held by the Securities Science Research and Training Center.

V. List of affiliated persons of listed company as specified in clause 34, Article 6 of the Securities Law (six-month report) and transactions of affiliated persons of the company with the company):

1. List of affiliated persons of the company:

N o.	Name of organization /individual	Securities trading account (if any)	Position at company (if any)	NSH* number date of issue, place of issue	Address of head office/ Contact address	Starting time of being an affiliated person (dd/mm /yyyy)	Time of no longer an affiliated person (dd/mm /yyyy)	Reason
<i>I</i>	Board of Directors							
1	Pham Ngoc Le		Chairman			23/4/2015		
2	Truong Cong Gioi		Member, General Director			23/4/2015		
3	Le Kim Hung		Member			23/4/2015	30/7/2016	Retired.
4	Pham Sy Hung		Member			01/8/2016		Representative for management of contributed capital of EVNCPC in Central Hydropower Joint Stock Company in place of Mr. Nguyen Kim Hung who has

N o.	Name of organization /individual	Securities trading account (if any)	Position at company (if any)	NSH* number date of issue, place of issue	Address of head office/ Contact address	Starting time of being an affiliated person (dd/mm /yyyy)	Time of no longer an affiliated person (dd/mm /yyyy)	Reason
								retired.
5	Nguyen Tuan Anh		Member			23/4/2015	07/9/2016	Power Generation Corporation 1 sold its entire stake in Central Hydropower Joint Stock Company.
6	Nguyen Danh Duyen		Member			23/4/2015	29/9/2016	Hanoi Power Corporation sold its entire stake in Central Hydropower Joint Stock Company.
7	Ho Quoc Viet		Member			23/4/2015		
8	Nguyen Huu Phuong		Independent member			23/4/2015		
9	Nguyen Huu Tam		Independent member			23/4/2015		
II Supervisory Board								
1	Trinh Quang Viet		Chief supervisor			31/3/2016		
2	Nguyen Van Danh		Member			31/3/2016		
3	Pham Viet Thien		Member			31/3/2016		
4	Tran Van Thuong		Member			23/4/2015	29/9/2016	Hanoi Power Corporation sold its entire stake in Central Hydropower Joint Stock Company.
III Management Board								
1	Truong Cong Gioi		Board's member General Director			23/4/2015		
2	Lam Uyen		Deputy General Director			01/12/2014		
3	Le Quy Anh Tuan		Deputy General Director			01/6/2013		
IV Chief accountant								
1	Huynh Mai		Chief accountant			01/12/2014		
V Major shareholders								
1	Southern Power Corporation					30/11/2004		
2	Central Power Corporation					30/11/2004		
3	Hanoi Power Corporation					30/11/2004	12/8/2016	Sold its entire stake in the company.
4	Power Generation Corporation 1					18/11/2013	30/8/2016	Sold its entire stake in the company.

No.	Name of organization /individual	Securities trading account (if any)	Position at company (if any)	NSH* number date of issue, place of issue	Address of head office/ Contact address	Starting time of being an affiliated person (dd/mm/yyyy)	Time of no longer an affiliated person (dd/mm/yyyy)	Reason
5	Refrigeration Electrical Engineering Corporation					8/12/2016		Purchase of shares, change in ownership and becoming a major shareholder.

2. Transactions between the company and the affiliated persons or between the company and leading shareholders, internal persons and related persons of internal persons: No transaction.

3. Transactions between internal person of listed company, related persons of internal persons and company's subsidiaries, companies controlled over by the listed company: No transaction.

4. Transactions between the company and other objects:

4.1. Transactions between the company and companies that members of the Board of Directors, members of the Supervisory Board, the Director (General Director) have been founding members or members of Board of Directors, Director (General Director) in three (03) recent years (calculated at the time of reporting): No transaction.

4.2. Transactions between the company and companies that related persons of members of the Board of Directors, members of the Supervisory Board, the Director (General Director) are members of Board of Directors, Director (General Director): No transaction.

4.3. Other transactions of the company (if any) that may bring material or nonmaterial benefits to members of the Board of Directors, members of the Supervisory Board, the Director (General Director): No transaction.

VI. Transactions of internal persons and related persons of internal person (Annual report):

1. List of internal persons and their related persons:

No.	Full name	Securities trading account (if any)	Position at the company (if any)	ID card/Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
I	Pham Ngoc Le		Chairman			23,153,344	18.38%	Representative for contributed capital of Southern Power Corporation
						1,055,250	0.84%	Personal ownership
<i>Related person of Mr. Pham Ngoc Le</i>								
1	Pham Thi Thu Thuy					116,550	0.09%	Wife
<i>Related entity of Mr. Pham Ngoc Le</i>								
2	Southern Power Corporation					28,941,680	22.97%	Deputy General Director
II	Truong Cong Gioi		Member - General Director			12,599,951	10%	Representative for contributed capital of

No	Full name	Securities trading account (if any)	Position at the company (if any)	ID card/Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
								Central Power Corporation
						56,490	0.04%	Personal ownership
<i>Related persons of Mr. Truong Cong Gioi</i>								
1	Dang Thi Cuc					120,750	0.10%	Wife
2	Truong Cong Nhan					0	0%	Son
3	Truong Cong Dao					0	0%	Son
4	Truong Cong Doi					0	0%	Younger brother
5	Truong Cong Lap					0	0%	Younger brother
<i>Related entity of Mr. Truong Cong Gioi</i>								
	Central Power Corporation					28,941,680	22.97%	Representative for contributed capital
III	Pham Sy Hung		Member			16,341,729	12.97%	Representative for contributed capital of Central Power Corporation
						10,500	0.0083%	Personal ownership
<i>Related persons of Mr. Pham Sy Hung</i>								
1	Le Thi Vinh					0	0%	Wife
2	Pham Thi Hien Trang					0	0%	Daughter
3	Pham Ngoc Tra My					0	0%	Daughter
4	Pham Le Dan Thy					0	0%	Daughter
5	Pham Thi Nhiem					0	0%	Sister
6	Pham Thi Diem					0	0%	Sister
7	Pham Thi Hoa					0	0%	Sister
<i>Related entity of Mr. Pham Sy Hung</i>								
9	Central Power Corporation					28,941,680	22.97%	Deputy General Director
10	Central Power Investment and Development Joint Stock Company							Vice Chairman of the Board
11	Khanh Hoa Power Joint Stock Company							Member of the Board
12	Tra Xom Hydropower Joint Stock Company							Member of the Board
IV	Ho Quoc Viet		Member			5,788,336	4.59%	Representative for contributed capital of Southern Power Corporation
						183,000	0.15%	Personal ownership
<i>Related persons of Mr. Ho Quoc Viet</i>								
1	Ho Van Mien					0	0%	Father
2	Vo Thi Huu					0	0%	Mother
3	Tran Thi Anh Dao							Wife
4	Ho Dang Khanh Ngan					0	0%	Daughter
5	Ho Quoc Dang					0	0%	Son

No	Full name	Securities trading account (if any)	Position at the company (if any)	ID card/Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note	
	Hung								
6	Ho Phong Son					0	0%	Younger brother	
7	Ho Thi Tuyen					0	0%	Younger sister	
<i>Related entity of Mr. Ho Quoc Viet</i>									
	Southern Power Corporation						28,941,680	22.97%	Representative for contributed capital
V	Nguyen Huu Phuong		Independent member			114,500	0.09%		
<i>Related persons of Mr. Nguyen Huu Phuong</i>									
1	Truong Thi Tuyet Huong							Wife	
2	Nguyen Hoang Vu					0	0%	Son	
3	Nguyen Thuy Hoang Dung					0	0%	Daughter	
4	Nguyen Thi Ngoc Ha					0	0%	Daughter	
5	Nguyen Huu Phuc					0	0%	Son	
6	Nguyen Thi My					0	0%	Younger sister	
VI	Nguyen Huu Tam		Independent member			94,500	0.08%		
<i>Related persons of Mr. Nguyen Huu Tam</i>									
1	Tran Thi Chau					94,500	0.08%	Wife	
2	Nguyen Thi Tran Thao					15,750	0.01%	Daughter	
3	Nguyen Huu Hieu					0	0%	Son	
VII	Trinh Quang Viet		Chief supervisor			10,500	0.01%		
<i>Related persons of Mr. Trinh Quang Viet</i>									
1	Le Thi Tam					0	0%	Mother	
2	Dang Thi Diem My					0	0%	Wife	
3	Trinh Quang Khang					0	0%	Son	
4	Trinh Quang Nhat					0	0%	Son	
5	Trinh Quang Vu					0	0%	Elder brother	
6	Trinh Thi Thuy Van					0	0%	Elder sister	
VIII	Nguyen Van Danh		Member			0	0%		
<i>Related persons of Mr. Nguyen Van Danh</i>									
1	Nguyen Buong					0	0%	Father	
2	Ly Thi Bach					0	0%	Mother	
3	Nguyen Thi Trang					0	0%	Wife	
4	Nguyen Van Tam					0	0%	Elder brother	
5	Nguyen Thi Thanh					0	0%	Elder sister	
6	Nguyen Van Len					0	0%	Younger brother	
<i>Related entity of Mr. Nguyen Van Danh</i>									
7	Southern Power Corporation . Ltd						28,941,680	22.97%	
IX	Pham Viet Thien		Member			0	0%		
<i>Related persons of Mr. Pham Viet Thien</i>									
1	Pham Viet Vu					0	0%	Father	
2	Tran Thi Luan					0	0%	Mother	
3	Tran Thi Thu Suong					0	0%	Wife	
4	Pham Ngoc Diep					0	0%	Daughter	
5	Pham Viet Thanh Tri					0	0%	Son	

No	Full name	Securities trading account (if any)	Position at the company (if any)	ID card/Pass port No., date of issue, place of issue	Add	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6	Pham Viet Vuong					0	0%	Younger brother
<i>Related entity of Mr. Pham Viet Thien</i>								
7	Central Power Corporation					28,941,680	22.97%	
X	Lam Uyen		Deputy General Director			68,250	0.05%	
<i>Related persons of Mr. Lam Uyen</i>								
1	Nguyen Ba Quynh Anh					0	0%	Wife
2	Lam Vi Quan					0	0%	Daughter
3	Lam Nhat Huy					0	0%	Son
4	Lam Tuyà					0	0%	Elder brother
5	Lam Thi Uong					0	0%	Younger sister
6	Lam Uong					0	0%	Younger brother
XI	Le Quy Anh Tuan		Deputy General Director			36,750	0.03%	
<i>Related persons of Mr. Le Quy Anh Tuan</i>								
1	Le Quy Hoa					0	0%	Father
2	Pham Thi Man					0	0%	Mother
3	Hoang Thi Thu					0	0%	Wife
4	Le Hoang Minh Thu					0	0%	Daughter
5	Le Quy Hoang Thuc					0	0%	Son
6	Le Thi Thanh Thuy					0	0%	Elder sister
7	Le Thi Song Huong					0	0%	Younger sister
8	Le Quy Anh Hung					0	0%	Younger brother
XII	Huynh Mai		Chief accountant			42,000	0.03%	
<i>Related persons of Mr. Huynh Mai</i>								
1	Huynh Thi Kim Uyen					45,975	0.04%	Wife
2	Huynh Bao Thoa					0	0%	Daughter
3	Huynh Tuan Khai					0	0%	Son
4	Huynh Binh					0	0%	Younger brother

Note: The above-mentioned data on ownership of shares based on the list of shareholders dated September 22, 2016.

2. Transactions of internal persons and affiliated persons on shares of the listed company:

No.	Conductor of transaction	Relationship with internal person	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reason for increase or decrease (purchase, sale, conversion, reward, etc)
			Number of shares	Percentage	Number of shares	Percentage	
1	Hanoi Power Corporation	Major shareholder	11,247,705	8.93%	0	0%	Sale

No.	Conductor of transaction	Relationship with internal person	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reason for increase or decrease (purchase, sale, conversion, reward, etc)
			Number of shares	Percentage	Number of shares	Percentage	
2	Power Generation Corporation 1	Major shareholder	6,574,890	5.22%	0	0%	Sale
3	Refrigeration Electrical Engineering Corporation	Major shareholder	5,462,005	4.34%	17,710,205	14.06%	Purchase
4	Nguyen Huu Phuong	Independent member	31,500	0.03%	114,500	0.09%	Purchase

Note: Transactions of internal persons and related persons are taken from reports on transactions of internal persons and related persons.

VII. Other significant issues: No.

CHAIRMAN OF THE BOARD

(Signed and sealed)



